

# A surface physics inspired model for particle charging in plasmas

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## Introduction

- ▶ qualitative discussion of the build-up of surface charges

## Physisorption-inspired charging model

- ▶ surface states for electrons and ions
- ▶ “effective” electron and ion surface densities

## Results

- ▶ criteria to determine  $Z_p = Q_p/(-e)$
- ▶ power, pressure, and radius dependence of  $Z_p$

## Conclusions

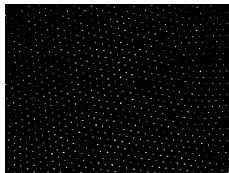
- ▶ sum-up and to do's

## Macroscopic objects in an ionized gas acquire a charge

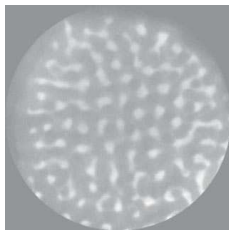
- ▶ plasma boundaries in general
- ▶ artificial satellites in the ionosphere of planets
- ▶ dust particles in the interstellar medium
- ▶ contaminants in processing discharges
- ▶ aerosols in the upper atmosphere
- ▶ grains in laboratory plasmas

## Problem of plasma-boundary interaction

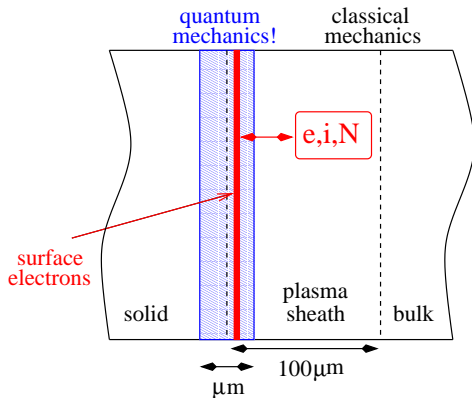
- ▶ solid state physics meets plasma physics
- ▶ quantum meets classical mechanics in a plasma context



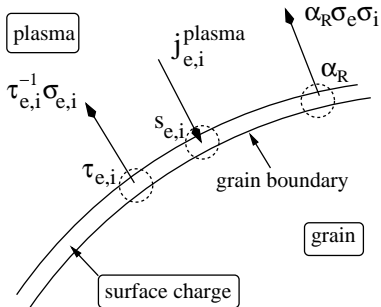
A. Piel *et al.* 2008



L. Stollenwerk *et al.* 2007



Lets consider a spherical grain with radius  $R \ll \lambda_D$ .



Quasi-stationary surface charge density (in units of  $-e$ )

$$0 = d\sigma_e/dt = s_{e,i} j_{e,i}^{plasma} - \tau_e^{-1} \sigma_e - \alpha_R \sigma_e \sigma_i$$

$$0 = d\sigma_i/dt = s_{i,j}^{plasma} - \tau_i^{-1} \sigma_i - \alpha_R \sigma_e \sigma_i$$

$$\implies \sigma_p = \sigma_e - \sigma_i$$

phenomenological!

## Standard approach

- ▶ ions reach surface  $\implies \tau_i^{-1} = 0$
- ▶  $\tau_e^{-1} = 0$  or at least  
 $\tau_e^{-1} \sigma_e \ll s_i j_i^{\text{plasma}} = \alpha_R \sigma_e \sigma_i$ .
- ▶  $s_e = s_i = 1$  or at least  $s_e = s_i$

$\implies$  grain  $\hat{=}$  perfect absorber for  $e^-$  and  $A^+$

$\implies j_e^{\text{plasma}}(\sigma_p) = j_i^{\text{plasma}}(\sigma_p)$  at  $r = R$

## We challenge all 3 assumptions

- ▶ surface states  $\implies A^+$  &  $e^-$  spatially separated
- ▶ if  $A^+$  &  $e^-$  spatially separated then  $\alpha_R \sigma_i \sigma_e \ll \tau_{e,i}^{-1} \sigma_{e,i}$
- ▶ physisorption  $\implies s_e \ll s_i$

$\implies \sigma_p = (s\tau)_e \cdot j_e^{\text{plasma}}(\sigma_p)$  at  $r_e = R$   
 $\sigma_i = (s\tau)_i \cdot j_i^{\text{plasma}}(\sigma_p)$  at  $r_i \gtrsim r_e$

Within our approach  $(s\tau)_{e,i}$  important surface parameter!

phenomenology  $\implies (s\tau)_{e,i} \simeq (s\tau)_{e,i}^{\text{LJD}} \equiv \frac{h}{kT_{p,g}} \exp \left[ \frac{E_{e,i}^d}{kT_{p,g}} \right]$  with  $T_g \sim 300\text{K}$

and  $T_p$  adjustable parameter  $\rightarrow T_p$  measurement  $\rightarrow$  H. Maurer *et al.* P4.7

## Electron and ion surface states

grain  $(R, Z_p, \epsilon) \implies V_{e,i}(x) = [U_C(r) + U_p(r)]/U_f = \frac{1}{1+x} [\pm 1 - \frac{\xi}{x(1+x)(2+x)}],$

$$U_f = e^2 Z_p / R, \quad x = r/R - 1, \quad \text{and } \xi = (\epsilon - 1)/2(\epsilon + 1)Z_p$$

electrons:  $x \ll 1, V_e(x) \simeq 1 - \xi/2x$  (image potential)

$$\lambda_e^{dB} / R \simeq |V_e/V_e'| \simeq 10^{-4} \text{ @ } x \simeq 10^{-4} \implies e^- \text{ quantum mechanical}$$

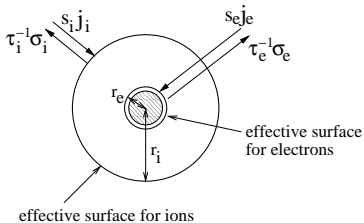
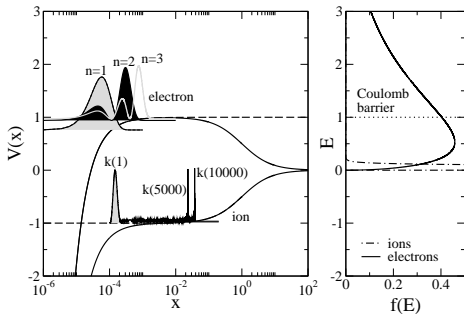
ions:  $x_i^{size} \simeq 10^{-4}, V_i(x) \simeq -1/(1+x)$  (Coulomb tail)

$$\lambda_i^{dB} / R \ll |V_i/V_i'| \text{ @ } x \geq x_i^{size} \implies A^+ \text{ classical}$$

Schrödinger equation ( $E < 0 \implies e^-$  and  $A^+$  bound states)

$$\frac{d^2}{dx^2} u^{e,i} + \left[ -\frac{\alpha_{e,i}^2}{k^2} + \tilde{V}_{e,i}(x) - \frac{l(l+1)}{(1+x)^2} \right] u^{e,i} = 0$$

with  $\tilde{V}_e(x) = 2\alpha_e/x, \tilde{V}_i(x) = 2\alpha_i/(1+x)$ , and BC @  $x \rightarrow \infty$  and  $x = \begin{cases} x_b \simeq 0 \\ x_i^{size} \end{cases}$



$e^-$ : high energy, come close to the surface, **inelastic scattering with grain**  
 $\Rightarrow E_e^d = |U_C(R) - E_1| = \frac{1}{16} \left( \frac{\epsilon-1}{\epsilon+1} \right)^2 R_y \simeq 0.5 \text{ eV} \Rightarrow (s\tau)_e^{\text{LJD}}$

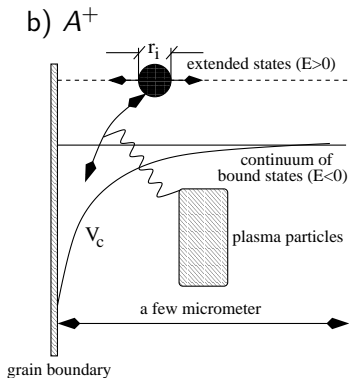
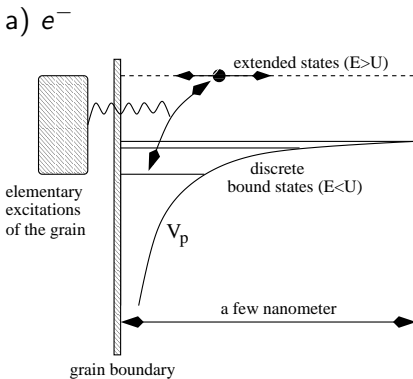
$A^+$ : low energy &  $x_i^{\text{size}} > 0$ , already trapped in Coulomb tail, **inelastic scattering with neutrals (CX)**

$$\Rightarrow 2\pi r_i = l_{\text{CX}} = (\sigma_{\text{CX}} n_g)^{-1} \text{ (largest "stable" orbit)}$$

$$\Rightarrow E_i^d = |U_C(r_i)| = 4\pi \sigma_{\text{CX}} a_B n_g Z_p R_y \simeq 0.37 \text{ eV} \Rightarrow (s\tau)_i^{\text{LJD}}$$



## Energy relaxation at the grain boundary



$$\Rightarrow E_{e,i}^d \text{ and } (s\tau)_e^{\text{LJD}}$$

$$\Rightarrow s_{e,i} \text{ and } \tau_{e,i}$$

## “Effective” $e^-$ and $A^+$ surface densities

quasi-stationary charge in the vicinity of the grain ( $x_D = \lambda_D/R \gg 1$ ):

$$Z(x < x_D) = Q(x < x_D)/(-e) \simeq 4\pi R^3 \int_{x_b}^x dx' (1+x')^2 \left[ n_e^b(x') - n_i^b(x') + \dots \right]$$

- ▶ effective surface density:  $n_{e,i}^b(x) \simeq \sigma_{e,i} \delta(x - x_{e,i})/R$ ,  
with  $x_e \simeq 0$  and  $x_i \simeq 1 - 10$
- ▶ individual (!) quasi-stationarity of “surface densities”  
 $\implies \sigma_{e,i} = (sT)_{e,i} j_{e,i}^{\text{plasma}}$

final equations for particle charge and its partial screening due to trapped  $A^+$ :

$$Z_p \equiv Z(x_e < x < x_i) = 4\pi R^2 \cdot (sT)_e \cdot j_e^{\text{OML}}(Z_p, n_e, T_e)$$

$$Z_i \equiv Z_p - Z(x_i < x < x_D) = 4\pi R^2 (1+x_i)^2 \cdot (sT)_i \cdot j_i^B$$

- ▶  $Z_p$  resides on grain surface
- ▶  $Z_i$  resides on effective surface where we subsume all trapped  $A^+$

Criteria to determine  $Z_p$ 

1. orbital motion limited charges:  $j_e^{\text{OML}} = j_i^{\text{OML}} \implies Z_p$

2. charge-exchange enhanced charges:  $j_e^{\text{OML}} = j_i^{\text{OML}} + j_i^{\text{CX}} \implies Z_p$   
(M. Lampe *et al.* (01 & 03), S. A. Khrapak *et al.* (05))

3. surface model: approximate  $(s\tau)_e \simeq (s\tau)_e^{\text{LJD}} = \frac{\hbar}{kT_p} \exp\left[\frac{E_e^d}{kT_p}\right]$  and adjust  $T_p$  such that  
 $Z_p = 4\pi R^2 \cdot (s\tau)_e^{\text{LJD}} \cdot j_e^{\text{OML}} = Z_p^{\text{exp}}$

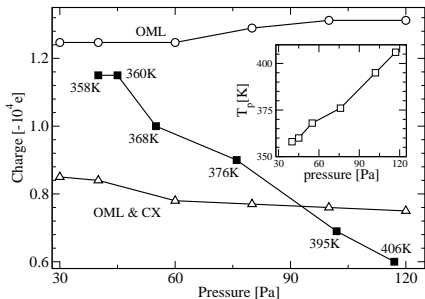
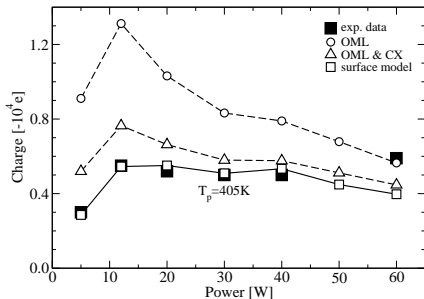
where the fluxes are defined by

$$j_e^{\text{OML}} = n_e \sqrt{\frac{k_B T_e}{2\pi m_e}} \exp\left[-\frac{Z_p e^2}{R k_B T_e}\right], \quad j_i^{\text{OML}} = n_i \sqrt{\frac{k_B T_i}{2\pi m_i}} \left[1 + \frac{Z_p e^2}{R k_B T_i}\right],$$

$$j_i^{\text{CX}} = 0.1 n_i \frac{\lambda_{i,\text{cx}}^D}{l_{\text{cx}}} \sqrt{\frac{k_B T_i}{2\pi m_i}} \left(\frac{Z_p e^2}{R k_B T_i}\right)^2, \quad \text{and} \quad j_i^{\text{B}} = 0.6 n_i \sqrt{\frac{k T_e}{m_i}}$$

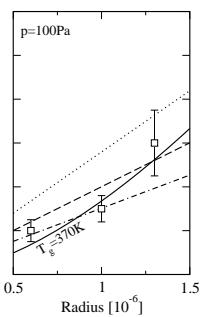
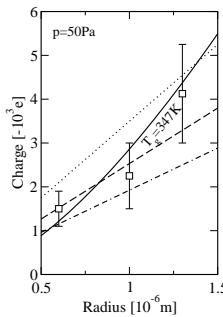
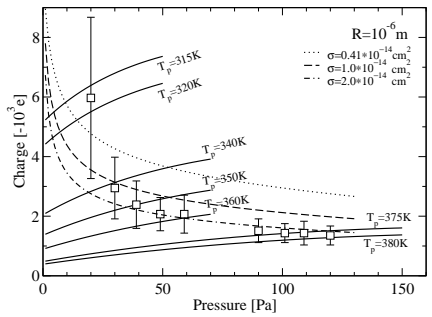
## MF particle in He discharge (A. Melzer (97))

- ▶  $R = 4.7 \mu\text{m}$
- ▶  $T_{e,i}$  and  $n_{e,i}$  known,  $\sigma_{\text{CX}} = 0.32 \times 10^{-14} \text{cm}^2$



- ▶ our approach works, predicted  $T_p$  reasonable
- ▶  $Z_i \simeq 150$  (at  $p = 102 \text{Pa}$ ) in accordance with MD simulations (S. J. Choi *et al.* (94))

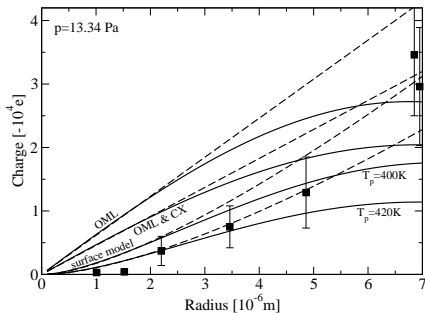
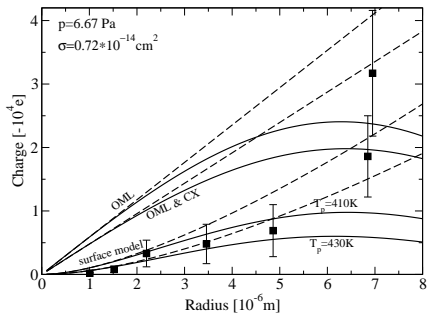
## MF particle in Ne discharge (S. A. Khrapak et al. (05))



► our approach reproduces non-linear R-dependence of  $Z_p$

## MF particle in Ar discharge (E. B. Tomme *et al.* (2000))

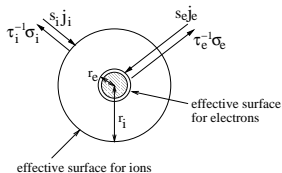
- ▶ particles confined in sheath  $\implies n_e(R) = n_e \exp[e\Phi(z_{eq}(R))/kT_e]$



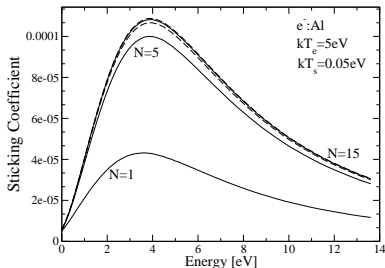
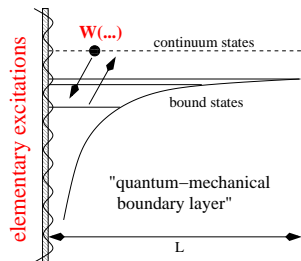
- ▶ our approach works particularly well for  $R < 5 \mu m$
- ▶ ad-hoc description of  $j_e^{plasma}$  perhaps too crude for  $R > 5 \mu m$  (secondary electron emission from electrode?)

## Sum-up and to do's

- ▶ We **questioned** the treatment of grains as perfect absorber for  $e^-$  and  $A^+$
- ▶ and **presented** a surface physics inspired charging model (F. X. Bronold *et al*, PRL 101, 175002 (08)).  
charge of a dust particle  $\longleftrightarrow$  microphysics at its surface
- ▶ Model based on **two main hypotheses**:
  1. ions get stuck in disturbed region of the grain
  2. electrons are bound in external surface states
- ▶ Using  $T_p$  as an adjustable parameter **model works very well**.
  - $\implies$  hypotheses have to be justified theoretically. Thats what we do now!
  - $\implies$  microscopic calculation of  $s_{e,i}$  and  $\tau_{e,i}$ . Thats what we do now!
  - $\implies$   $Z_p$  and  $T_p$  should be measured simultaneously.
- ▶ Diagnostics of electronic structure of grain surface would be very helpful (IPES etc.).



## $e^-$ : metal surface



$$W(\dots) \Rightarrow s_e \text{ \& \; } \tau_e$$

- ▶ internal electron-hole pairs
- ▶ golden rule & PT  $\Rightarrow s_e, \tau_e$   
(Bronold *et al.* submitted to EPJD 2009)

- $\Rightarrow s_e \sim 10^{-4}$  very small; approximation?
- $\Rightarrow \tau_e \sim 10^{-2}$  s very large; assumption of thermodynamical equilibrium?